



# ***Open Systems Engineering***

**Keeping up with  
the changing world...**



**...by designing and  
building  
weapon systems  
using the open  
system approach.**

***Open Systems Joint Task Force***

# ***The New Acquisition Environment***

**Unique, Closed Weapons Systems Designs**

**Cost Too Much to Develop**

**Cost Too Much to Support**

**Cost Too Much to Modify**

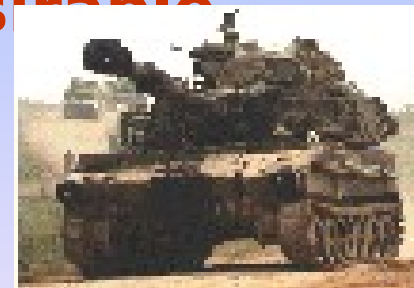


**Can Not Readily Employ New Technologies**

**Inter-operation Is Less Than Desirable**

**Longer Weapon System Life**

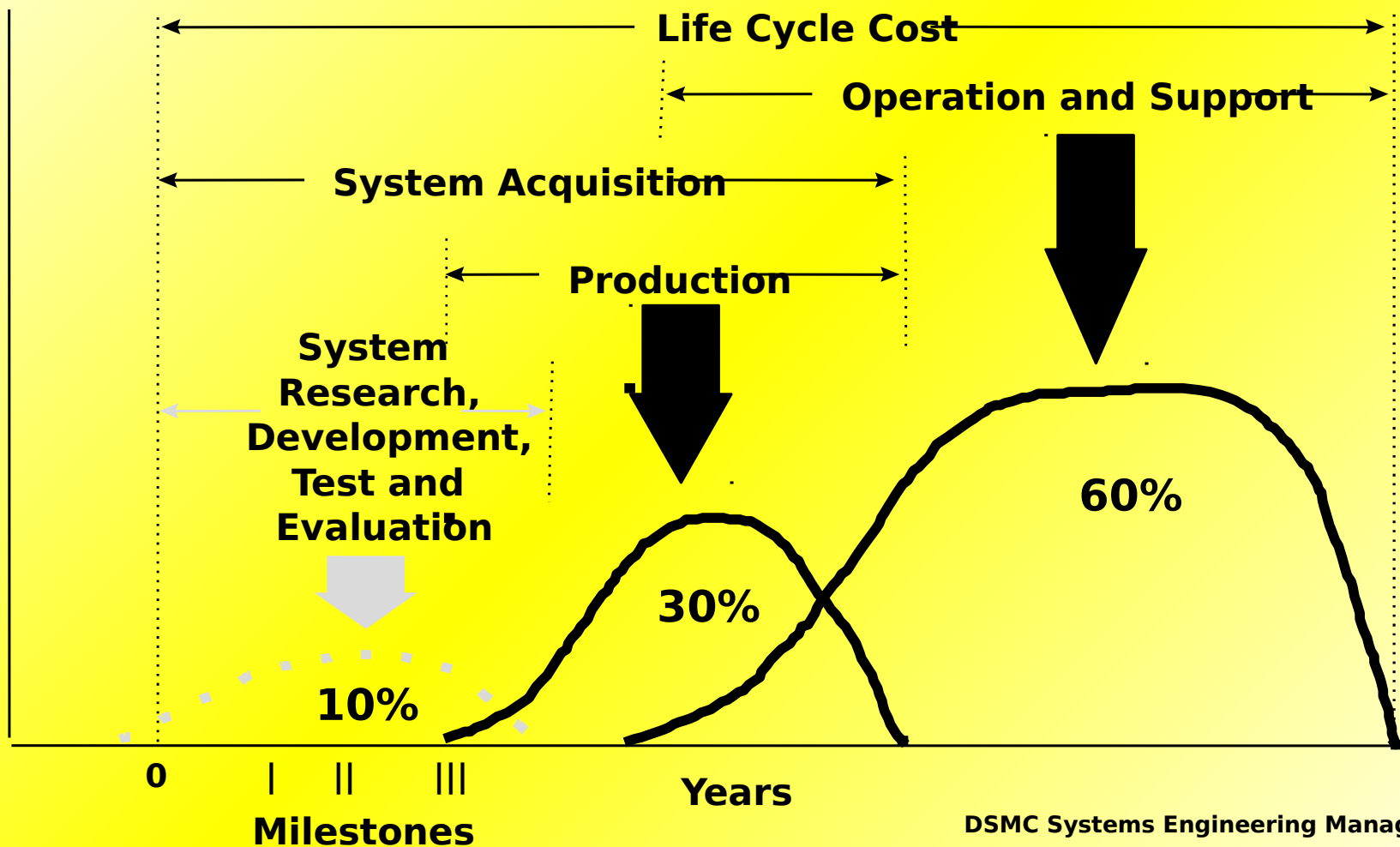
**Reduced DOD Budget**



**Increased Dominance of Commercial Market**

**Shortened Technology Cycle Time**

# Life Cycle Costs



# ***What Is an Open System Approach?***

The open systems approach is an integrated business and technical strategy to:

- choose commercially supported specifications and standards for selected system interfaces (external, internal, functional, and physical), products, practices, and tools, and
- build systems based on modular hardware and software design.

# ***Business and Technical***

## ***Approach***

It is a business approach to leverage use of commercial products that directs resources to a more intensive preliminary design effort to result in a lifecycle cost reduction. As a business approach it supports the DOD policy initiatives of CAIV, increased competition, and use of commercial products.

It is a technical approach that emphasizes systems engineering, interface control, modular design, and design for upgrade. As a technical approach it supports the engineering goals of design flexibility, risk reduction, configuration control, long term supportability, and enhanced utility.

***The Open Systems Approach Makes Sense  
Whether You are a Manager, Engineer,  
Logistician, Comptroller, or Contracting  
Officer***

# Open Systems Benefits





# ***Relationship to Acquisition Reform***



**Objectives:**

**Cost as an Independent Variable**  
Trade Performance and Schedule for Lower Costs

**Reduced Cycle Time**  
**Lower Costs**

**Performance Specs**  
State requirements in terms of needs, not designs

**Clear Accountability in Design**  
Government Controls Performance -- Contractor Designs the Solutions.

**Acquisition Reform**

**Non-Developmental and Commercial Items**  
Use Existing Technology and Products, If Applicable

**OPEN SYSTEMS IS AN ENABLER**

**Horizontal Technology Insertion**

**Evolutionary Acquisition**

**Modernization Through Spares**

# ***SUMMARY***

- **Open System Approach Emphasizes**
  - **Flexible Interfaces,**
  - **Maximum Interoperability,**
  - **Use of Commercial Competitive Products,**
  - **Enhanced Capacity for Future Upgrade.**
- **Business and Technical Approach**
  - **Business Establishes the Need and Availability**
  - **Technical Supplies the Means**
- **Associated with Clear Lifecycle Performance, Cost, and Schedule Benefits**
- **Acquisition Reform Enabler**



An aerial photograph showing a submarine moving through a narrow waterway. The submarine is dark and sleek, leaving a white wake behind it. On the left, there is a long, low structure, possibly a lock or a bridge. On the right, there is a larger, more complex structure with several buildings and a tower, also likely part of a lock system. The water is dark and calm.

# ***SYSTEMS ENGINEERING MANAGEMENT REVIEW***

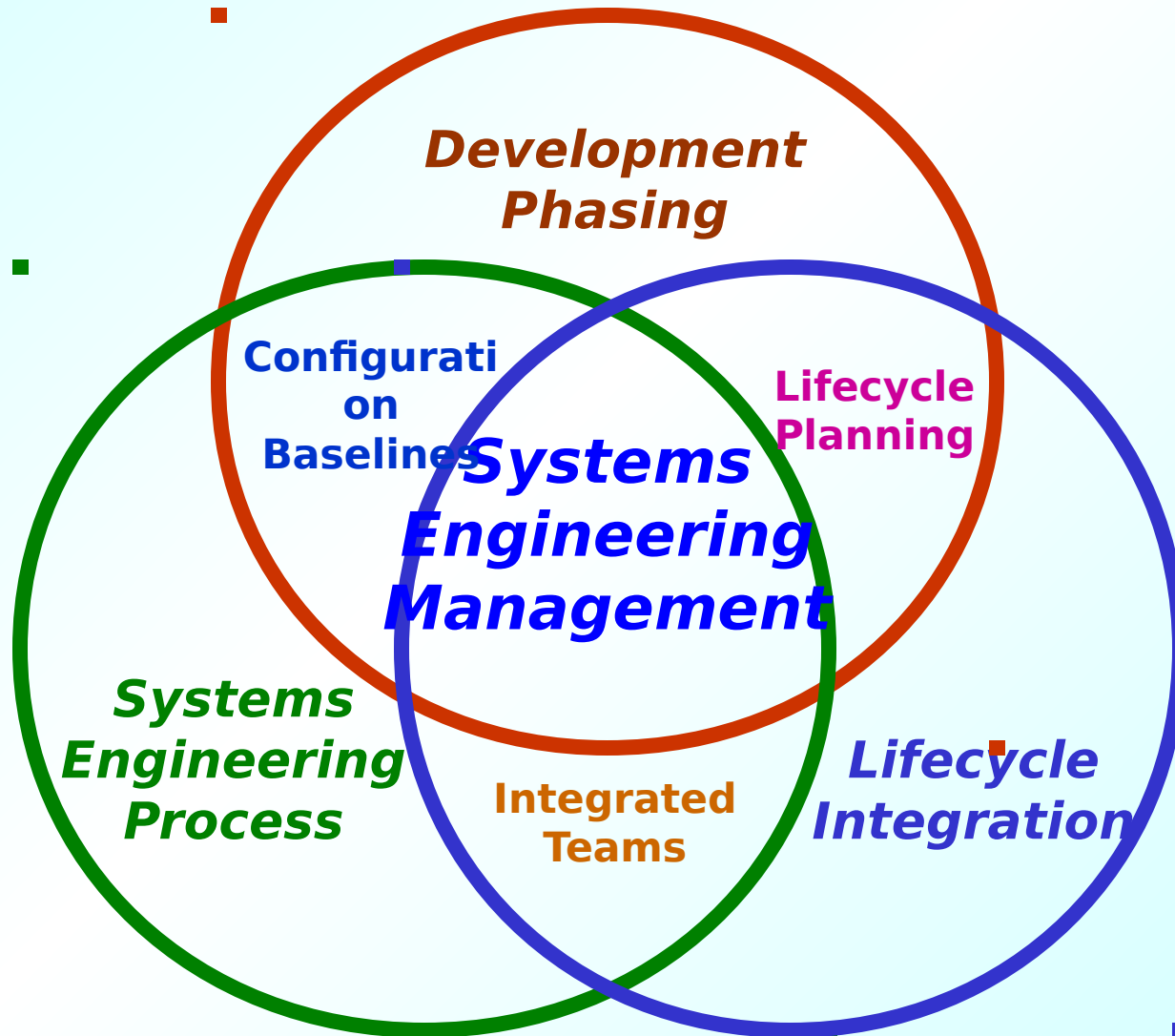


# **SYSTEMS ENGINEERING**

**TECHNICAL  
FIELD**

**SYSTEMS  
ENGINEERING  
MANAGEMENT**

# Systems Engineering Management



# DEVELOPMENT PHASING

Concept  
Studies

DESIGN  
DEFINITION

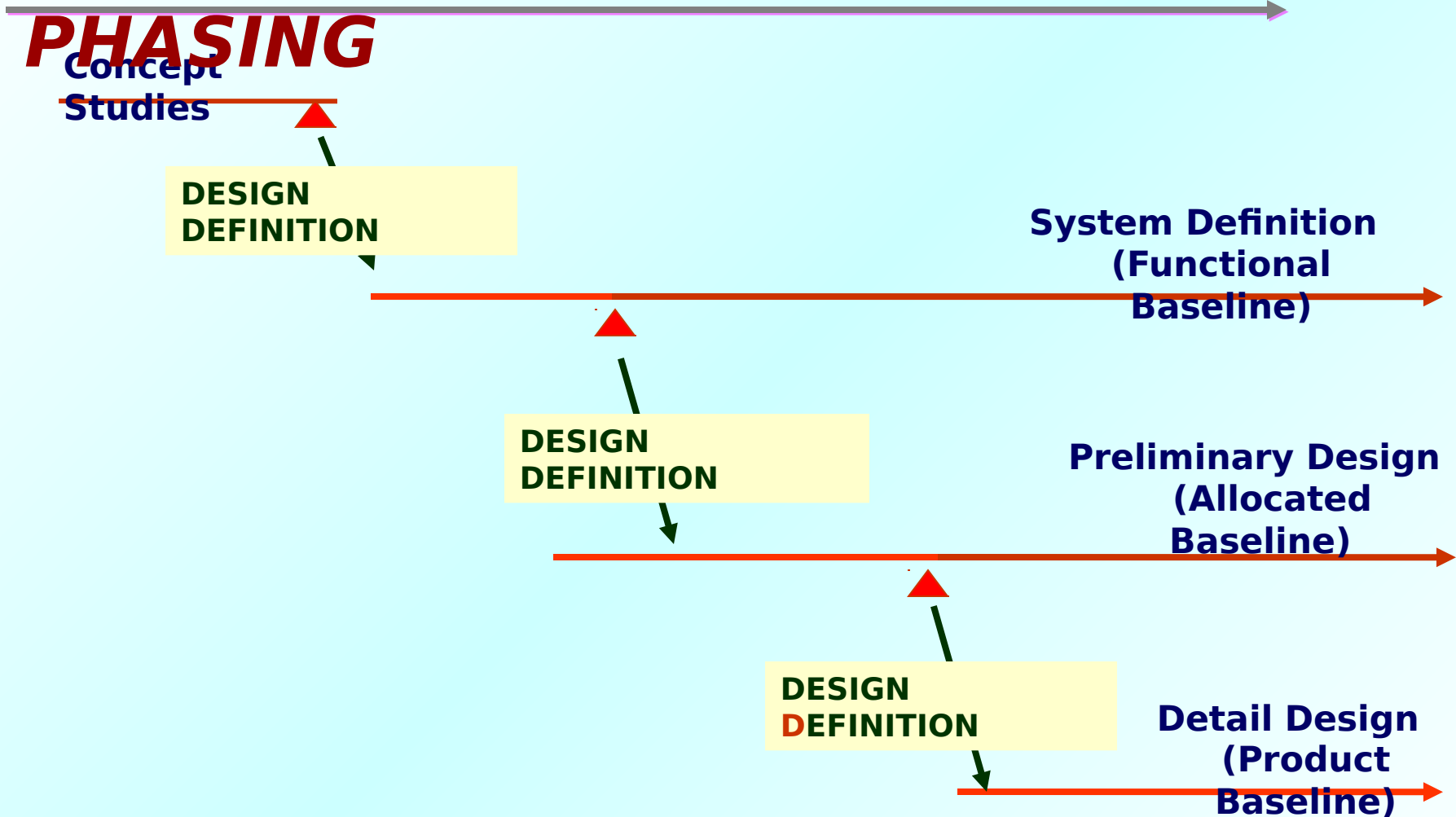
System Definition  
(Functional  
Baseline)

DESIGN  
DEFINITION

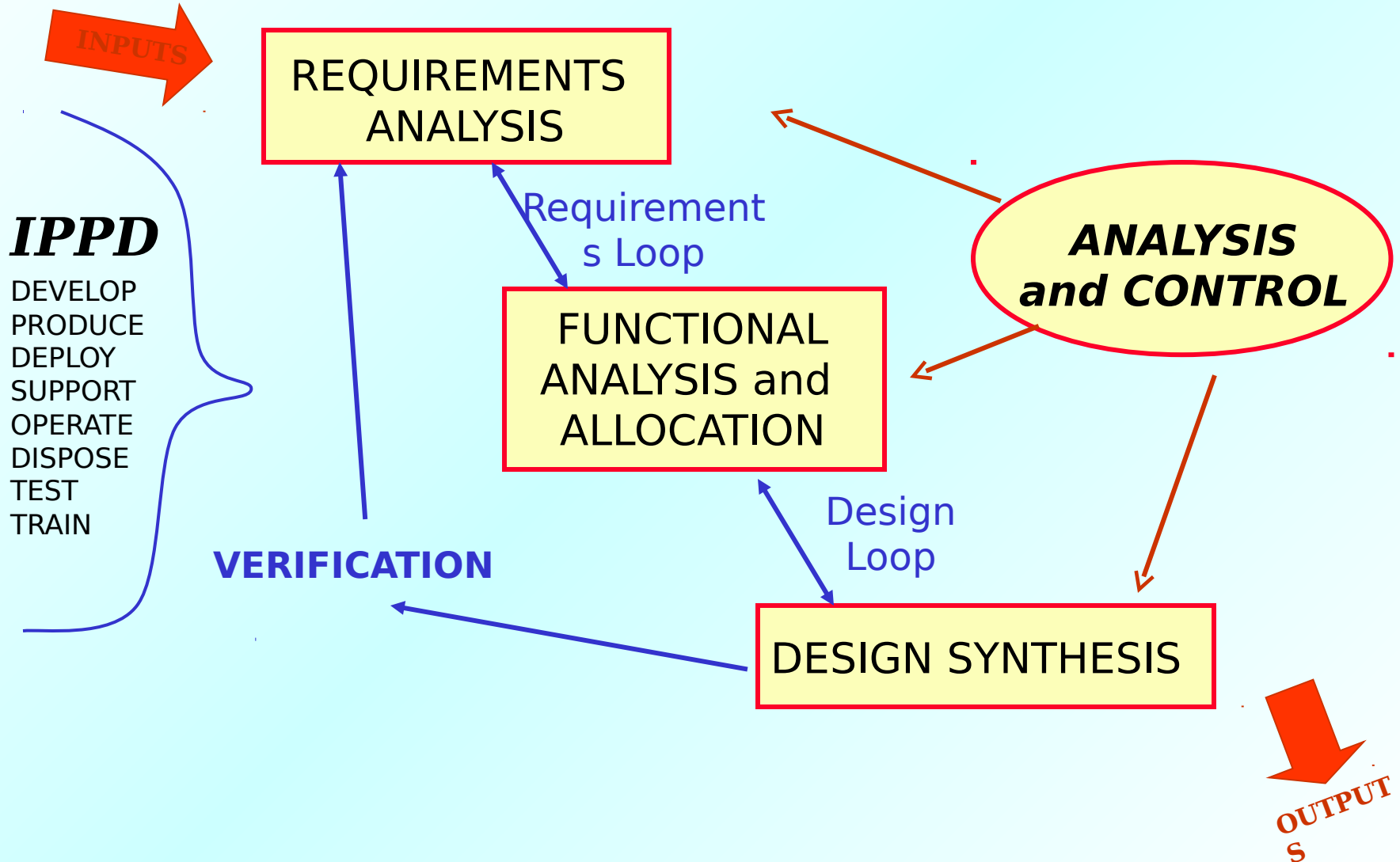
Preliminary Design  
(Allocated  
Baseline)

DESIGN  
DEFINITION

Detail Design  
(Product  
Baseline)



# Discipline of the SE Process



# ***Systems Engineering Process Inputs***

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- Customer Needs/Objectives/Requirements
  - OP Concept, Missions, and Measures of Effectiveness
  - Environments and Interoperability
  - Constraints (e.g. Cost, Schedule)
  - Technology Base and Engineering Processes.
  - Lifecycle Issues: Producibility, Maintainability, Testability, and Similar
- Output Requirements From Prior Application of SEP.
- Program Decision Requirements.

# Requirements Analysis

## ■ Develop System Functional & Performance Requirements

### - Define:

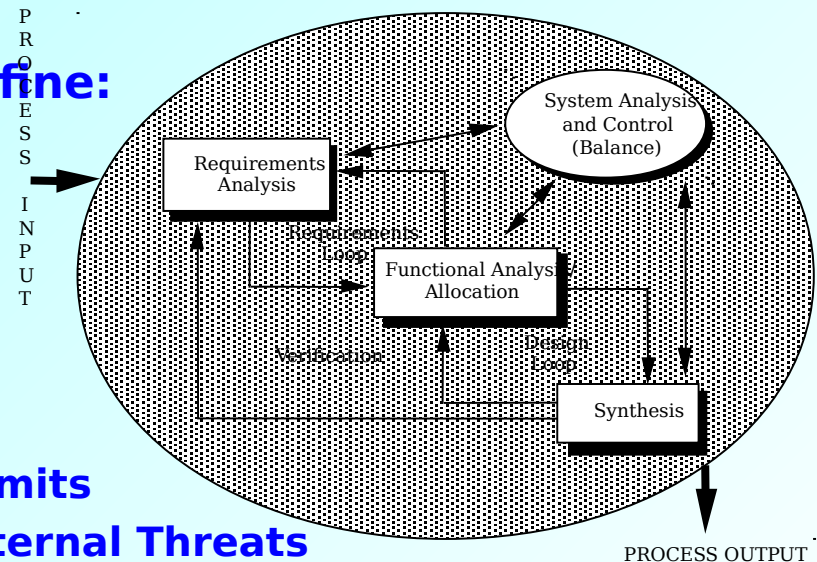
- » What System Must Do
- » How Well It Must Do It
- » Utilization Environment
- » Design Constraints

## ■ Performance Requirements Define:

- Quantity - How many
- Quality - How Good
- Coverage - How Far
- Time Lines - When
  - » Availability - How Often

## ■ Design Constraints Define:

- Environmental Conditions or Limits
- Defense Against Internal or External Threats
- Contract, Customer or Regulatory Standards





# REQUIREMENTS ANALYSIS OUTPUTS THREE VIEWS

---

- **Operational**
  - Focuses on how the system will be operated by users, including interoperability needs.
  - Establishes HOW WELL and UNDER WHAT CONDITION the system must perform.
- **Functional**
  - Focuses on WHAT system must do to produce required operational behavior.
  - Shows required inputs, outputs, states and transformation rules.
- **Physical**
  - Focuses on HOW the system is constructed.
  - Key to establishing the physical interfaces and technology requirements.

# ***Functional Analysis and Allocation***

## **Allocate Functions**

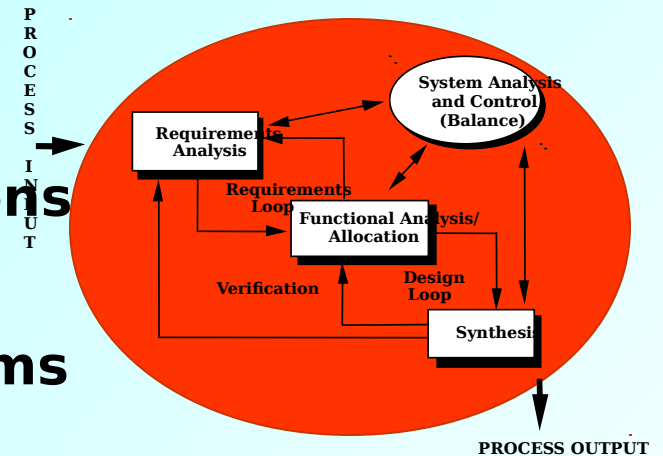
- Decompose Higher Functions

## **Allocate Performance**

- From Higher to Lower Functions

## **Functional Descriptions**

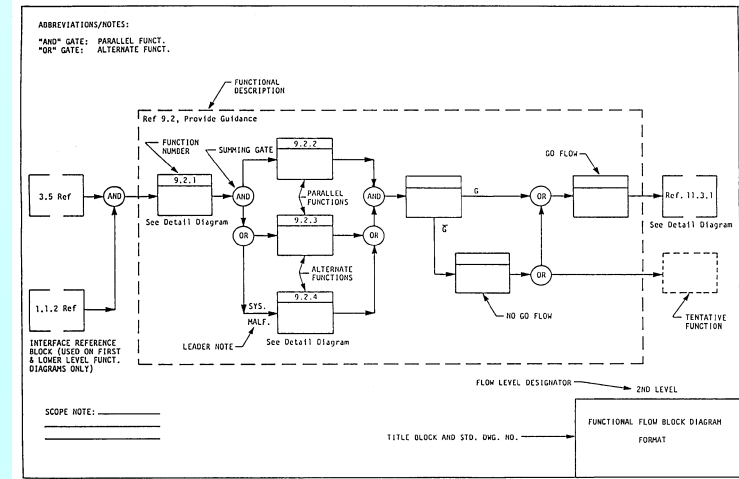
- Functional Flow Block Diagrams
- Time Line Analysis
- Functional Architecture



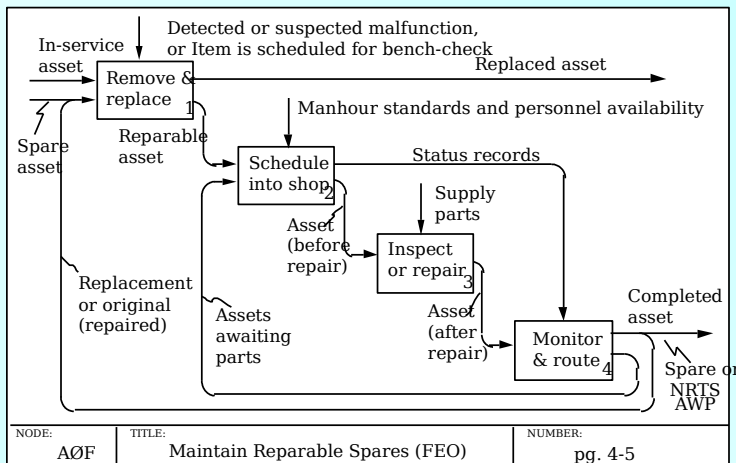
# Typical Functional Analysis and Allocation Tools

Function 3.1 Establish and maintain vehicle readiness from .35 hrs to 2 hrs prior to launch.

| FUNCTION |   | HOURS |    |    |    |    |   |   |   |   |  |
|----------|---|-------|----|----|----|----|---|---|---|---|--|
| NUMBER   | NAME  | 30    | 25 | 20 | 15 | 10 | 5 | 4 | 3 | 2 |  |
| 3.1.1    | PROVIDE GROUND POWER                              |       |    |    |    |    |   |   |   |   |  |
| 3.1.2    | PROVIDE VEHICLE AIR CONDITIONING                  |       |    |    |    |    |   |   |   |   |  |
| 3.1.3    | INSTALL AND CONNECT BATTERIES                     | 2.5   |    |    |    |    |   |   |   |   |  |
| 3.1.4    | INSTALL ORDNANCE                                  | 7.5   |    |    |    |    |   |   |   |   |  |
| 3.1.5    | PERFORM STRAY VOLTAGE CHECKS AND CONNECT ORDNANCE | 2.6   |    |    |    |    |   |   |   |   |  |
| 3.1.6    | LOAD FUEL TANKS                                   | 7.5   |    |    |    |    |   |   |   |   |  |
| 3.1.7    | LOAD OXIDIZER TANKS                               | 7.5   |    |    |    |    |   |   |   |   |  |
| 3.1.8    | ACTIVATE GUIDANCE SYSTEM                          | 2.5   |    |    |    |    |   |   |   |   |  |
| 3.1.9    | ESTABLISH PROPULSION FLIGHT PRESSURE              | 1.0   |    |    |    |    |   |   |   |   |  |
| 3.1.10   | TELEMETRY SYSTEM "ON"                             |       |    |    |    |    |   |   |   |   |  |
| 3.1.11   | PERFORM TRACKING/RANGE SAFETY CHECKS              | 0.5   |    |    |    |    |   |   |   |   |  |
| 3.1.12   | PERFORM VEHICLE CERTIFICATION                     | 1.5   |    |    |    |    |   |   |   |   |  |



## Time Line Analysis



## Functional Flow Block Diagram

| Requirements Allocation Sheet               | Functional Flow Diagram Title and No.   |  | Equipment Identification |                       |
|---|---|--|--------------------------|-----------------------|
| Function Name and No.                       | Functional Performance and Design Requirements  |  | Facility Rqmnts          | CI or Detail Spec No. |
| 2.58.4 Provide Guidance Compartment Cooling | The temperature in the guidance compartment must be maintained at the initial calibration temperature of +0.2 Deg F. The initial calibration temperature of the compartment will be between 66.5 and 68.5 Deg F.  |  |                          |                       |
| 2.58.4.1 Provide Chilled Coolant (Primary)  | A storage capacity for 65 gal of chilled liquid coolant (deionized water) is required. The temperature of the stored coolant must be monitored continuously. The stored coolant must be maintained within a temperature range of 40-50 Deg F. for an indefinite period of time. The coolant supplied must be free of obstructive particles 0.5 micron at all times. |  |                          |                       |

## IDEF and Similar Functional Interface Tools

## Requirements Allocation Sheet

# ***Design Synthesis***



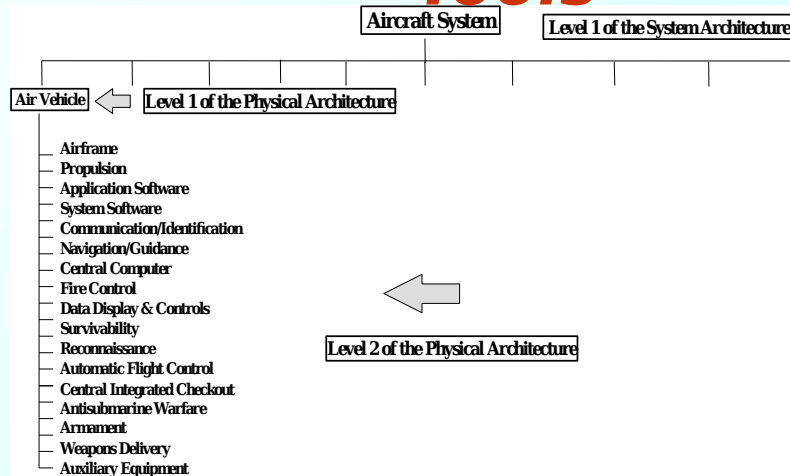
- **Outputs:**
  - **Physical Architecture (Product Elements & Software Code).**
  - **Specifications and Baselines.**
  - **Decision Database.**
- **Inputs:**
  - **To Be Transformed: Functional Architecture.**
  - **Enablers: IPTs; Decision Database; Tools: CASE, CAD, CASETS.**
  - **Controls: Constraints, Technical Architectures, GFE, COTS, System Concept & Subsystem Choices; Organizational Procedures.**

# ***Design Synthesis***

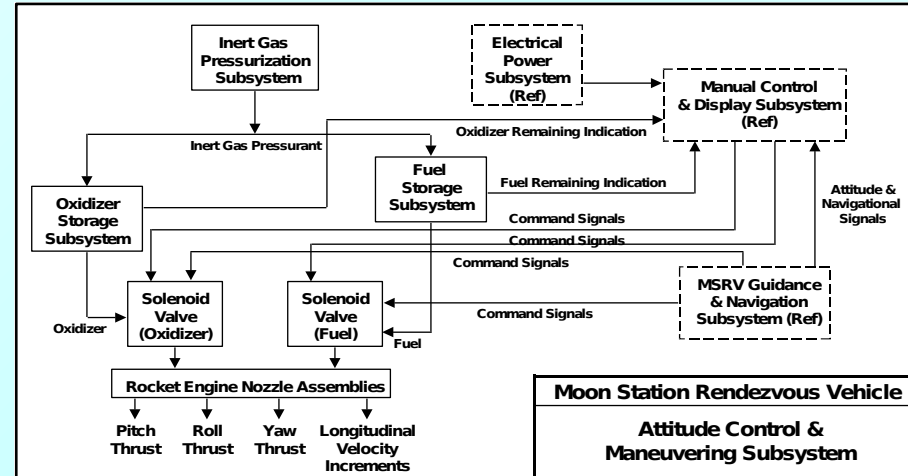


- **Activities:**
  - **Allocate Functions & Constraints to System Elements.**
  - **Synthesize System Element Alternatives.**
  - **Assess Technology Alternatives.**
  - **Define Interfaces.**
  - **Define System Product WBS.**
  - **Develop Life Cycle Techniques & Procedures.**
  - **Integrate System Elements.**
  - **Select Preferred Concept/design.**

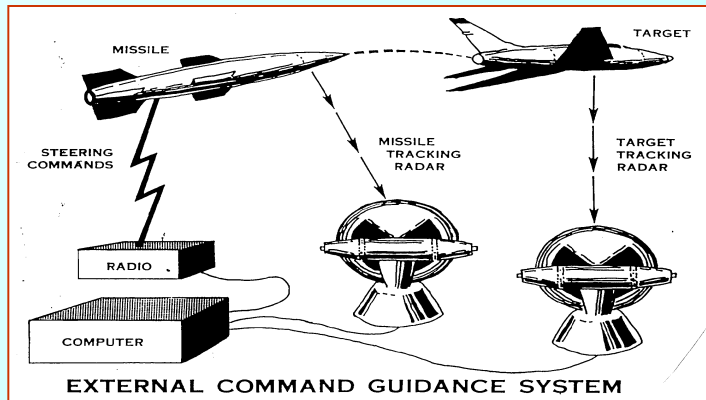
# Typical Design Synthesis Tools



WBS



Schematic Block Diagram



Concept Description Sheet

| Requirements Allocation Sheet               | Functional Flow Diagram Title and No.   |  | Equipment Identification                       |                       |
|---|---|--|--|-----------------------|
|   | 2.58.4 Provide Guidance Compartment Cooling   |  |  |                       |
| Function Name and No.                       | Functional Performance and Design Requirements  |  | Nomenclature                                   | CI or Detail Spec No. |
| 2.58.4 Provide Guidance Compartment Cooling | The temperature in the guidance compartment must be maintained at the initial calibration temperature of +0.2 Deg F. The initial calibration temperature of the compartment will be between 66.5 and 68.5 Deg F.  |  | Guidance Compartment Cooling System            | 3.54.5                |
| 2.58.4.1 Provide Chilled Coolant (Primary)  | A storage capacity for 65 gal of chilled liquid coolant (deionized water) is required. The temperature of the stored coolant must be monitored continuously. The stored coolant must be maintained within a temperature range of 40-50 Deg F. for an indefinite period of time. The coolant supplied must be free of obstructive particles 0.5 micron at all times. |  | Guidance Compartment Coolant Storage Subsystem | 3.54.5.1              |

Requirements Allocation Sheet

# ***Verification***



**Each Requirement Must Be Verifiable**

**Specification Section 4 Relates Directly to Section 3**

**Confirms That Solution Meets Requirements**

**Types of Verification:**

**Inspection**

**Demonstrations**

**Simulations / Analysis**

**Test**



# ***SYSTEMS ANALYSIS AND***



## ***CONTROL*** ANALYSIS :

**Trade Studies**

**Effectiveness Analysis**

**QFD**

## **CONTROL:**

**Work Breakdown Structure**

**CONFIGURATION AND INTERFACE  
MANAGEMENT**

**Data Management**

**Event Schedules and Tech Reviews**

**Metrics**

**Risk Management**

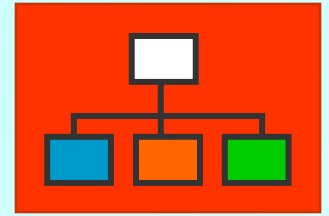
**Modeling and Simulation**

***AND***

## ***Configuration Management***

Management Process for Establishing and Maintaining Consistency of a Product's Performance, Functional, and Physical Attributes With Its Requirements, Design, and Operational Information Throughout Its Life.

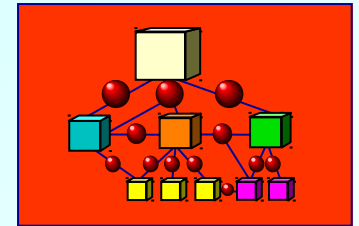
Identification, Control, Status Accounting, Audits



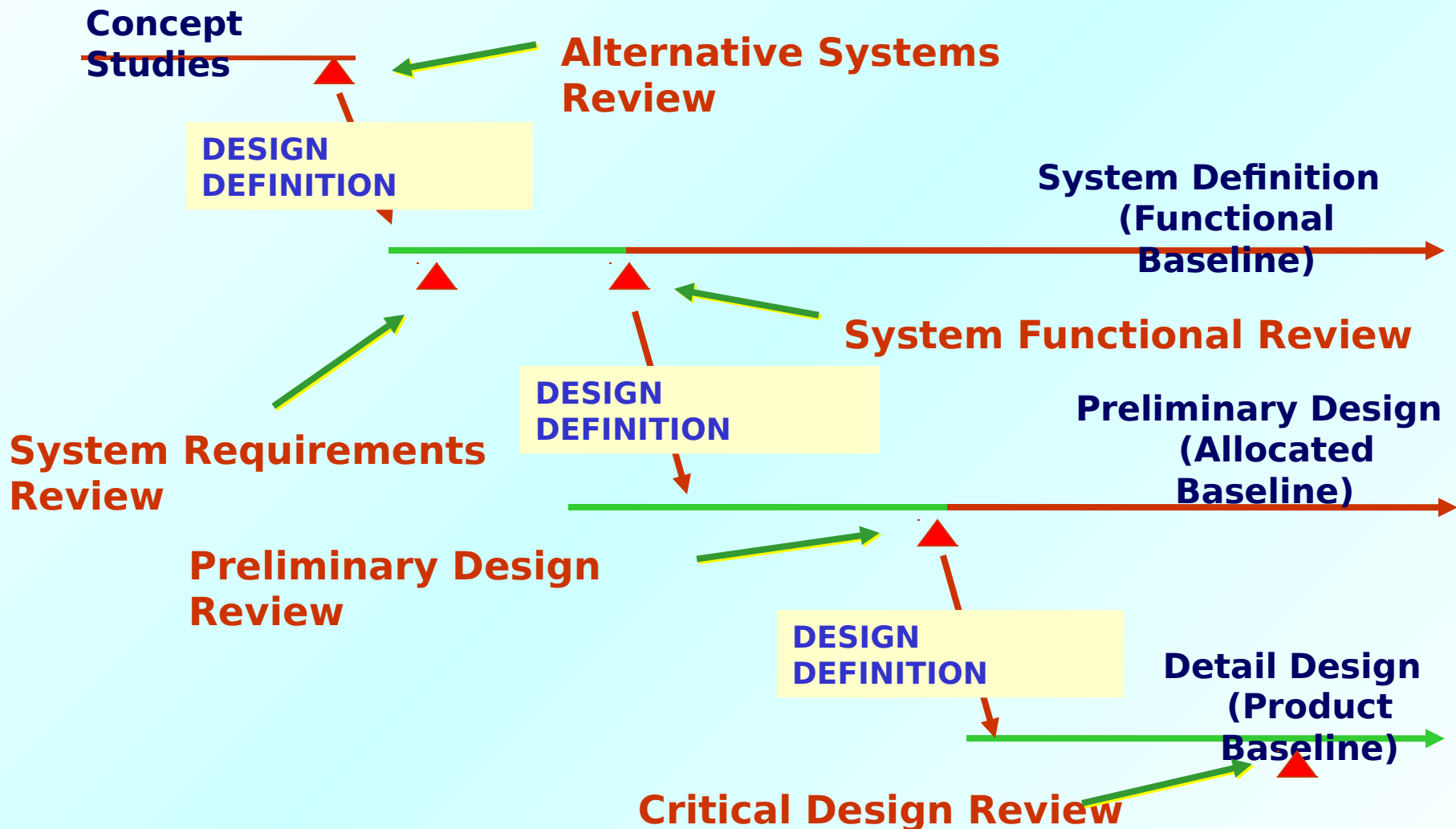
## ***Interface Management***

Management Process for Identifying and Controlling Interfaces and the Requirements Associated With Them.

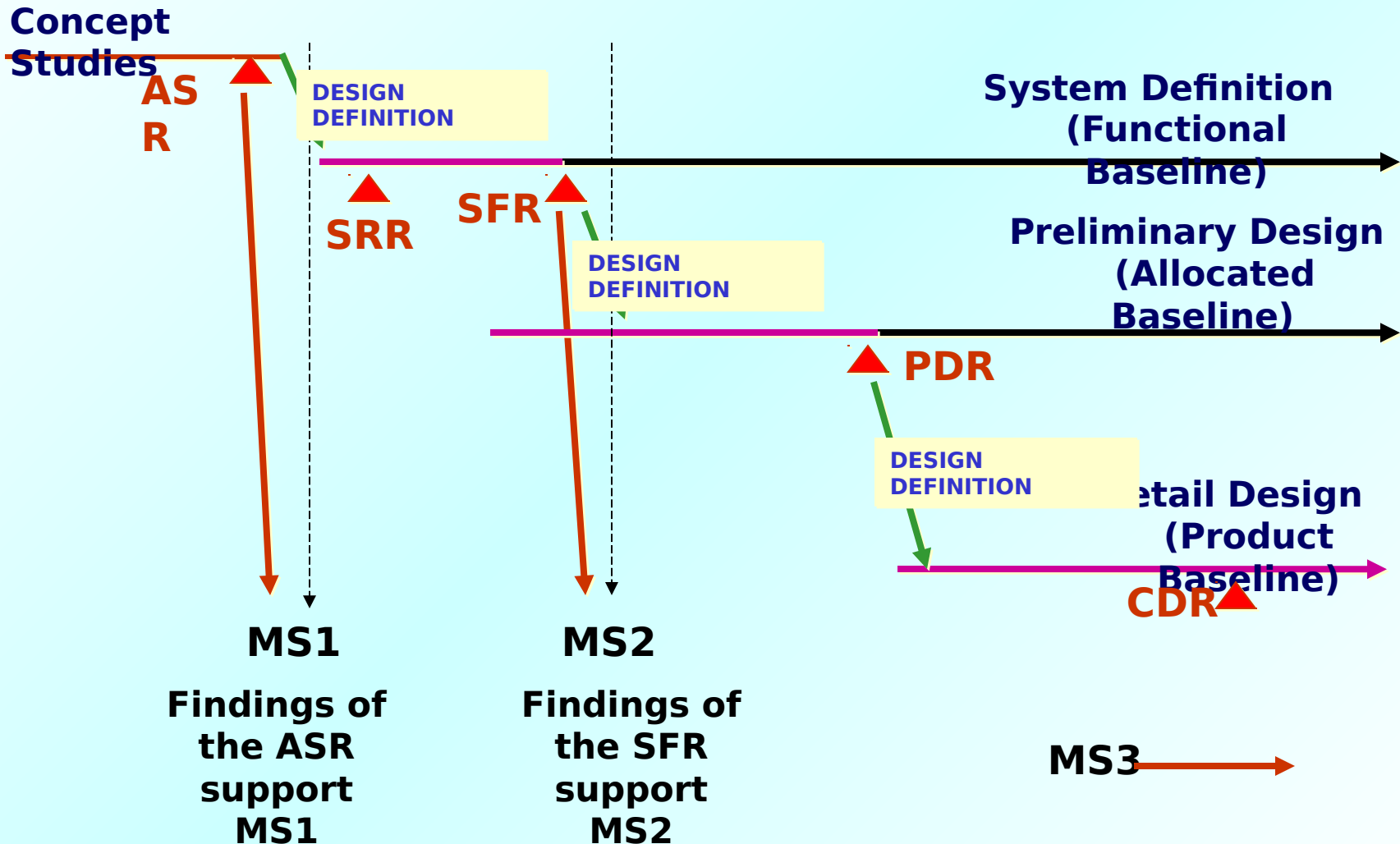
ICWGs, ICD



# Technical Reviews



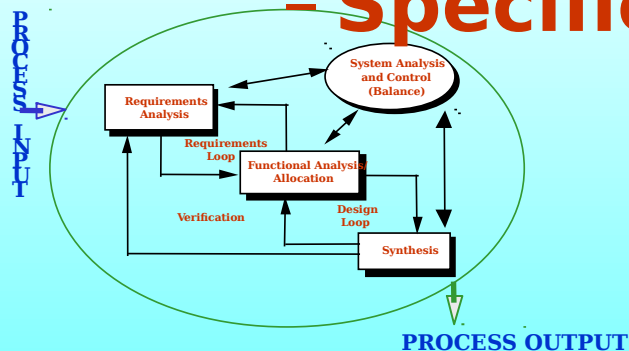
# Acquisition Milestones



# SE PROCESS OUTPUTS

## Level of Development Dependent

- Decision Data Base
- System/Configuration Item Architectures
- Specifications & Baselines



# ***Architectures of a System***



## **Functional**

**Functional requirements**

**Performance requirements**

## **Physical**

**Equipment (hardware and software)**

## **System**

**Products and processes for  
development, manufacturing,  
deployment, operations, support,  
disposal, training, and verification.**

# **PRIMARY LIFECYCLE FUNCTIONS**

## **8 Primary Functions of Systems Engineering:**

- Development
- Production/Construction
- Fielding
- **OPERATION**
- Support
- Disposal
- Training
- 
- Verification

**THOSE THAT PERFORM THE PRIMARY FUNCTIONS ARE  
THE “CUSTOMERS” WHOSE NEEDS FORM THE INPUT TO  
THE PROCESS, AND THEY ARE THE IPT MEMBERS WHO  
PERFORM THE SE PROCESS.**



# ***Integrated Teaming***

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**Integrates the Lifecycle Functions Into the Design and Development Process**

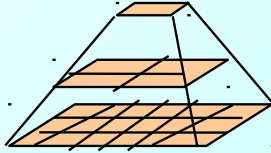
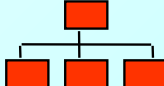
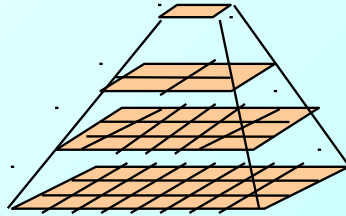
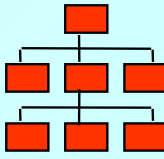
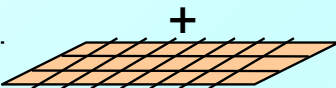
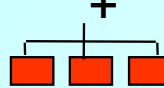
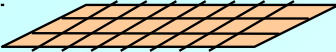
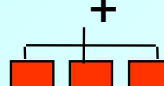
**Design-build Teams, Simultaneous Engineering, Concurrent Engineering, IPPD, and Others**

**IPT Members Perform the SE Process**

**IPT Members Responsible for Own Functional Planning Based On Team**

**Design**  
**THOSE THAT PERFORM THE PRIMARY FUNCTIONS ARE THE “CUSTOMERS” WHOSE NEEDS FORM THE INPUT TO THE PROCESS, AND THEY ARE THE IPT MEMBERS WHO PERFORM THE SE PROCESS.**

# SE “Big Picture”

| Input   | Req'ts Analysis               | Functional Analysis & Allocation (Func Arch)  | Synthesis (Phys Arch)  | Output (Specs)   | WBS (System Arch)                                       | Baseline   | Review/ (Phase)    |
|---|-------------------------------|---|--|--|---|------------|--------------------|
| <b>Concept</b><br>MNS<br>Customer Req'ts  | System Level Technical Req'ts |    |    | Draft System Spec  | Draft Program WBS                                       |            | ASR (CE)           |
| <b>System</b><br>ORD#1<br>Customer Req'tschange?<br>Draft System Spec<br>Phys Arch<br>MgtDecisions from Tech Reviews                      | System Level Technical Req'ts |    |    | System Spec<br><br>Draft Performance Specs                     | Program WBS<br><br>Draft Contract WBS                   | Functional | SDR/FR (PD&RR)     |
| <b>Sub-System</b><br>ORD#2<br>Customer Req'tschange?<br>System Spec<br>Draft Perform. Specs<br>MgtDecisions from Tech Reviews at CI level | System Level Technical Req'ts |    |    | System Spec<br><br>Performance Specs<br><br>Draft Detail Specs | Program WBS<br><br>Contract WBS<br><br>Draft Extensions | Allocated  | PDR/CDR FCA (EMD)  |
| <b>Component</b><br>ORD#3<br>Customer Req'tsChange?<br>System Spec<br>Performance Specs<br>Draft Detail Specs<br>MgtDecisions             | System Level Technical Req'ts |  |  | System, Performance & Detail Specs (TDP)                       | Program WBS<br><br>Contract WBS<br><br>Extensions       | Product    | PCA (EMD/ PF/D&OS) |

# Summary

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**Systems Engineering Management Has Three Elements: Baselines, SEP, and Lifecycle Integration.**

**There Are Three Baselines: Functional, Allocated, and Product.**

**The SEP Has Three Main Steps: Requirements Analysis, Functional Analysis and Allocation, and Design Synthesis.**

**Verification Confirms That Solution Meets Requirements.**

**The SEP Steps Are Supported by System Analysis and Control Tools. Two Important Ones Are Configuration and Interface Management.**

**There Are 8 Lifecycle Functions: Design, Production,**

# ***OPEN SYSTEMS ENGINEERING MANAGEMENT OVERVIEW***



# ***Open Systems***



## **Open Systems Implement Common Interfaces, Services, and Supporting Formats**

### **Open System**

- **A Collection of Interacting Components Designed to Satisfy Stated Needs With Interface Specifications:**
  - **Fully Defined**
  - **Available to the Public**
  - **Maintained According to Group Consensus**
- **In Which the Interactions of Components Depend on the Interface Specification and the Implementations of Components Are Conformant to the Specification.**

### **An Open Systems Approach**

...

- **Is an Integrated Technical and Business Strategy,**
  - **Uses Modular Hardware and Software Design,**
  - **Applies Commercial, Widely Used Interface Standards,**
  - **To Buy, Rather Than Build.**

# ***Open Systems is a Systems Engineering Approach***

**“Traditional” Approach** **Open System Approach**

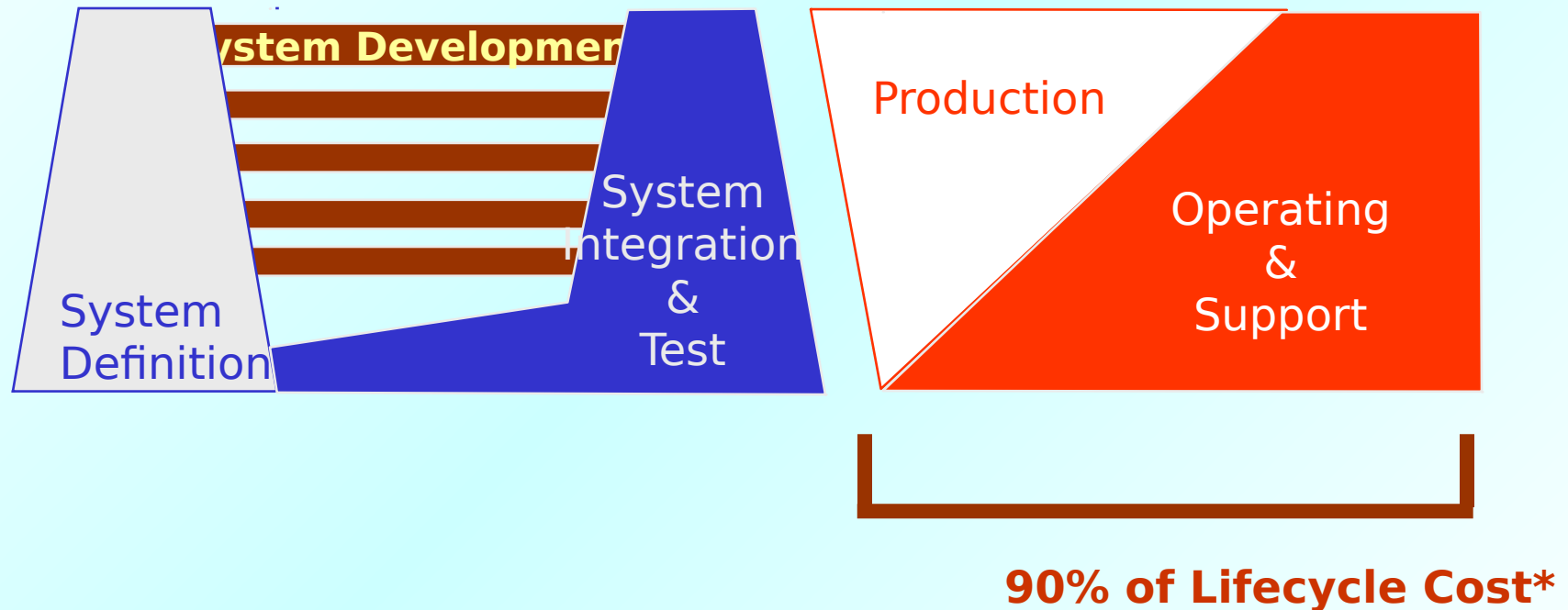
|   |   |
|---|---|
| <p><b>Resulted in Unique Interfaces</b><br/><b>Develop Components</b><br/><b><i>Identify Interfaces</i></b></p> | <p><b>Adopt Standard Interfaces</b><br/><b>Acquire Components</b><br/><b><i>Select Interfaces</i></b></p> |
|---|---|

**Top Down**

**Balance Top Down with  
Bottom Up**

# ***Existing Engineering Methods Emphasize Known User Requirements and Accept an Assumption of Stable Design Through Production and O&S***

## **Current Approach:**



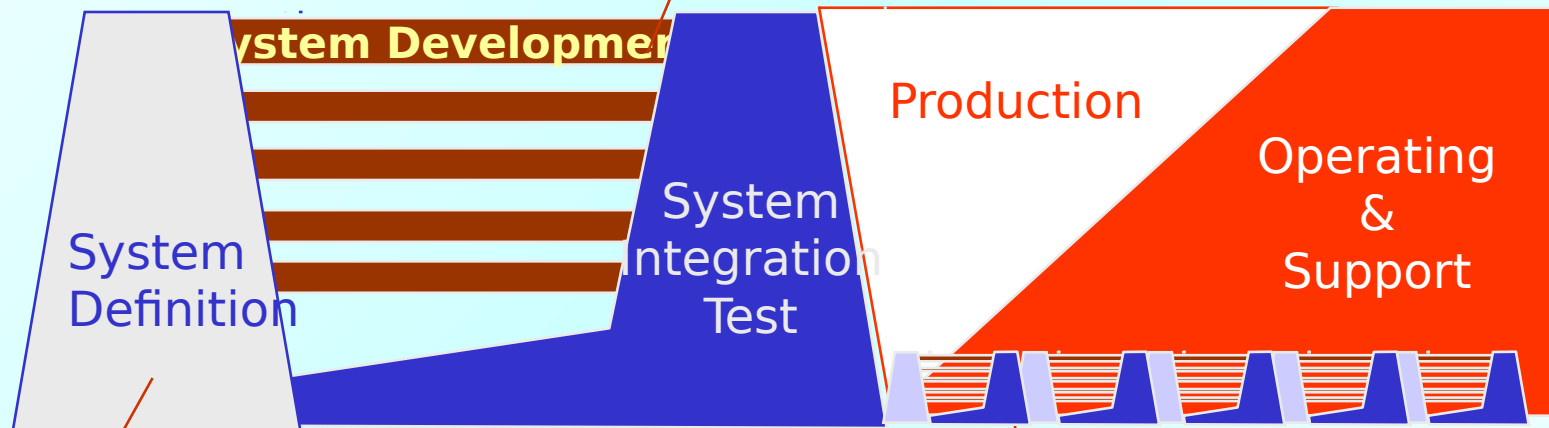
**\*DSMC Systems Engineering Management Guide**



# ***New Approach Must Plan for Rapid Technology Turnover***

**OS Engineering Model:**

**Develop Open System Framework  
& Initial System Configuration**



**Define System in a manner that accommodates  
evolution throughout its lifetime**

**Evolve the System with  
Available Technologies**

# ***AN OPEN SYSTEM IS CHARACTERIZED BY***

**Modular (Compartmentalized) Design.**

**Multiple Design Solutions Within the  
Modules With Preference for  
Competitive Commercial  
Components.**

**Clear Preference for Open Standards  
to Define Interfaces.**

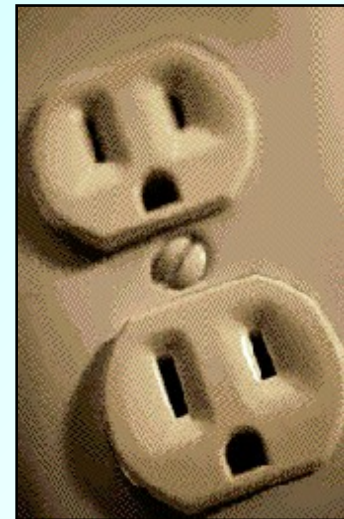
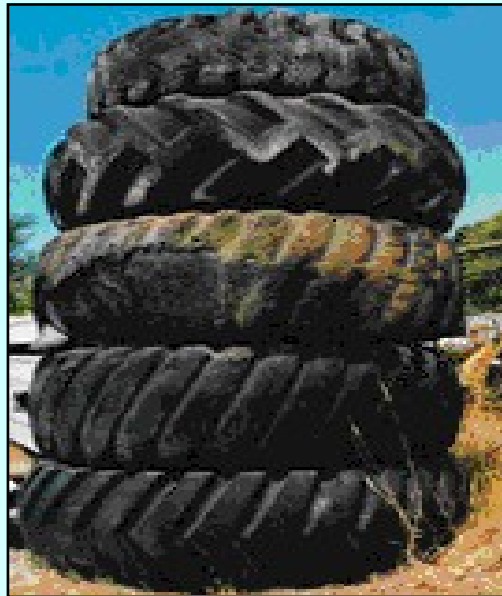
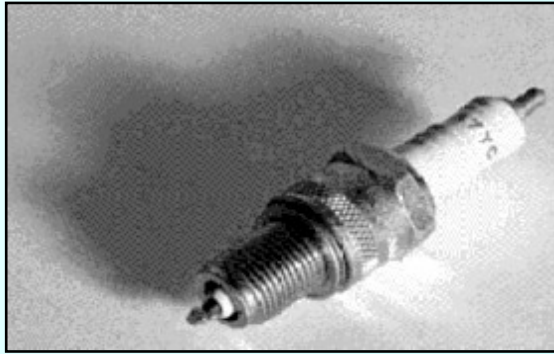
**Explicit Provision for Expansion or  
Upgrading By Component  
Replacement With Minimal Impact on  
the System.**

# ***Preferred Characteristics***



- **Well Defined, Widely Used, Non-proprietary Interfaces/protocols**
- **Use Of Standards Developed/adopted By Industrially Recognized Standards Bodies**

# ***Typical Open Interface Examples***



# ***Open Systems Engineering Management***



- **Focuses on Design Flexibility to Support Sustainment, Evolution, Upgrade.**
- **Interface *SELECTION* and Control to Enhance Life Cycle Support To Permit Evolution With Technology.**
- **Design anticipates “Change” (Upgrade) Over Time.**
- **Employing Modularity, Based on Well Defined Interfaces, to Isolate Components Likely to Change Over Time.**
- **Multiple Design Solutions Within the Module.**
- **Interface Management Is Key!**

# ***Attributes of an Open System***



## **Multiple Sources of Supply**

Acquire building blocks from several sources on continuing basis

DOD is one of many customers for these building blocks

## **Technology Indifference**

Technology Refresh (part requalification)

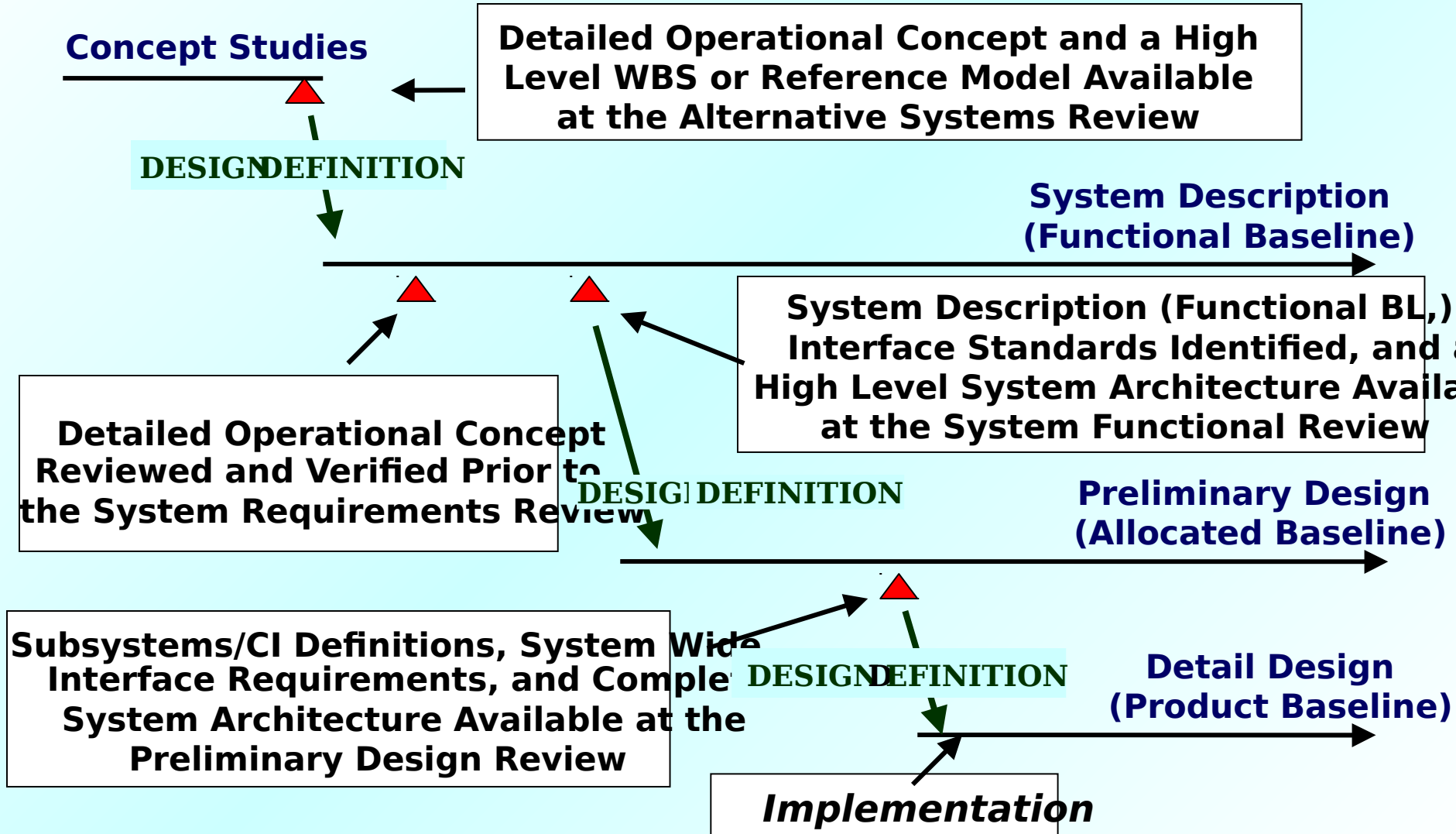
Minimal impact to configuration management

## **Lowers cost of ownership**

## **Better Performing Systems (Technology Insertion)**

## **Greater Intra-operability**

# ***Systems Engineering Management of an Open System Approach***



# ***Operational Concept***



## **More Than an Operational Requirements Document (ORD)**

### **Key Features:**

**Performance Required (ORD)**

**How the System Will Be Used - Scenarios and Simulations**

**Dynamic Interfaces: Interoperability, Information Exchange, Log Support, and Other Lifecycle Support**

**Potential for Alternate Use**

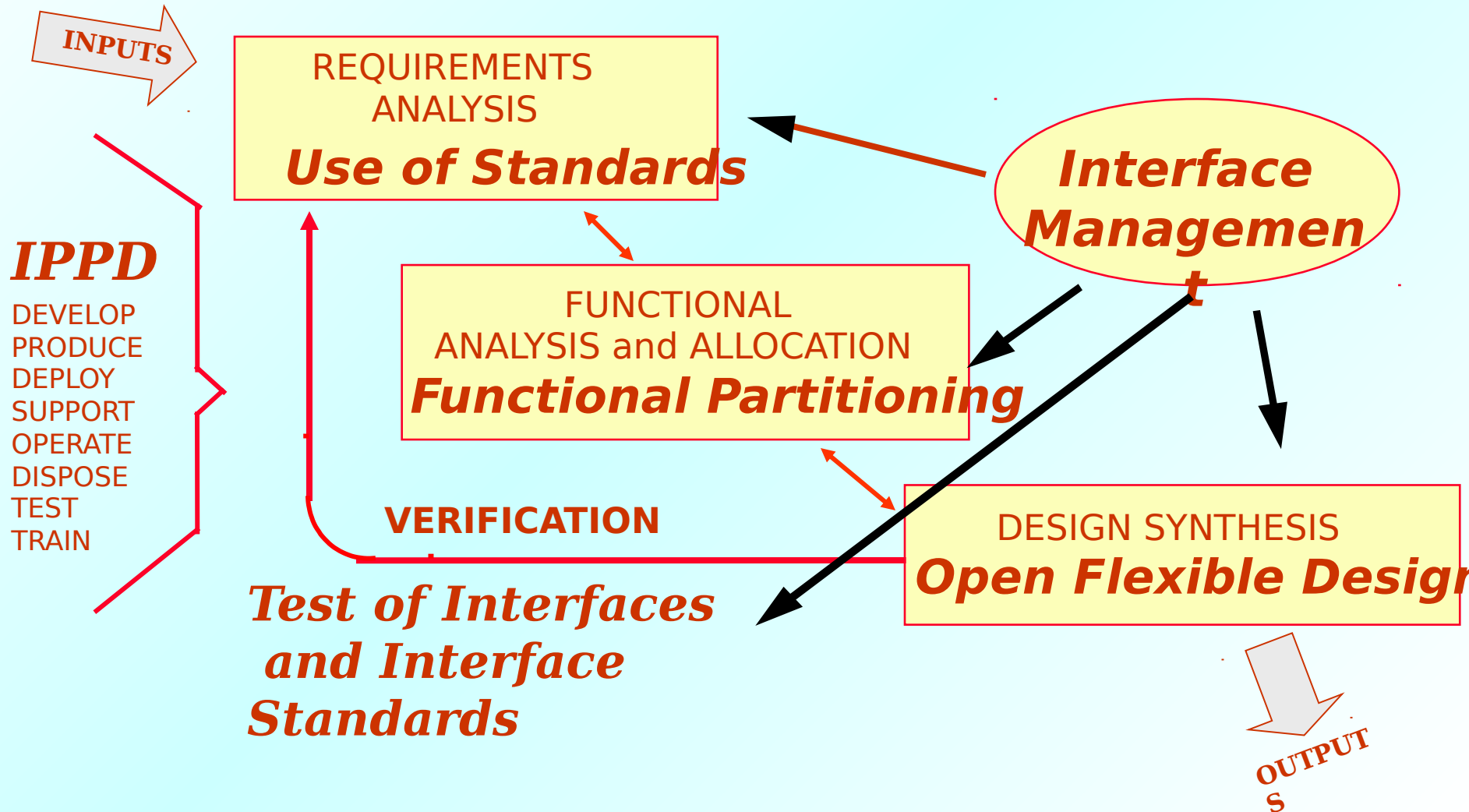
**Most Likely Element to Cause The Most Trouble**

**Operational Architectures Document the**  
***MAJOR ISSUES RESOLVED PRIOR TO SRR!***  
**Operational Concept**



# *Designing Open Systems*

## *Demands the Discipline of the SE Process*



# Open Systems Design Principles / 1

- **Identify Critical Interfaces to Subsystems or Components Likely To:**
  - Change Due to Their Dependence on Rapidly Evolving Technology
  - Have Increasing Requirements
  - Have High Replacement Frequency or Have High Costs
  - Have the Highest Obsolescence Risks and the Greatest Opportunity for Future Technology Insertion.
- **Use Open Standards for These Critical Interfaces That Are:**
  - Supported by the Broader Community
  - Are Considerate of Life-cycle Support Requirements
  - Permit Evolution With Advances in Technology and Support Technology Insertion



**INTERFACE  
MANAGEMENT**



**INTERFACE  
MANAGEMENT**

# Open Systems Design Principles / 2

- **Verify All Performance Requirements and Re-evaluate Their Stringency.**
  - **Reallocate Requirements As Necessary to Permit the Wider Use of Open Standards Throughout the System.**
- **Identify the Lowest Level the Government Maintains Control Over the Interface Standard**
  - **Anticipate How This Level May Change Over Time**
  - **Below This Level, the Contractor Is Permitted to Use Its Best, Perhaps Proprietary, Practices to Improve or Discriminate Its Product in the Marketplace**

**REQUIREMENT  
ANALYSIS**

**CONFIGURATION  
MANAGEMENT**

# Open Systems Design Principles / 3.

- **Use a Modular Design Approach Combined With Well-defined Standards-based Interfaces**
  - To Isolate the Effects of Change in Evolving Systems
  - To Reduce the Need for Redesign As the System Is Upgraded
- **Implement Consistent Conformance Management Practices to Ensure That Products Conform to the Established Profile**
  - Prevent Being Limited to One Supplier Who Might Unilaterally Extend That Interface.

FUNCTIONAL  
ANALYSIS DESIGN  
SYNTHESIS

CONFORMANCE  
MANAGEMENT

# **Requirements**



## **Analysis**

**Review of the Previous SEP  
Output:**

***Architectures - Operational,  
Technical, Functional, Physical, Systems***

***Interface Definition and  
Configuration Baselines, Including  
Specs***

***Complete, Comprehensive, Concise,  
& Correct***

**Consideration of Requirements  
Change to Enhance OS**

# ***Requirements***



## ***Analysis***

**Review of New or Revised  
Requirements:**

***ORD Revision***

***Lifecycle Policy or Procedural Change***

***Management Direction***

***DOD, Service, or Agency Policy  
Change***

**Analysis of Market Survey**

# ***Functional Analysis/Allocation and Design Synthesis***

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**Group Functions or Components  
Using:**

***Functional Partitioning***

***Modular Design***

***Interface Management***

**Robust, Flexible, and Minimal or Optimized  
Interfaces**

# ***Verification and Conformance***



## **Conformance Testing**

**Testing Standards**

**Testing Components**

## **Operational Testing**

**Commercial Availability**

**Balancing Operational Requirement**

***Every Requirement Must Be Verifiable!***



# ***System Analysis and Control***

## ***Interface, Configuration and Conformance Management***

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**Configuration Management Is a Formal Process for Controlling and Tracking the System and Component Descriptions. It Is Essential for Assuring the Components Procured Will Accurately Represent the Design.**

**Interface Management Is the Process of Identifying, Prioritizing, Defining, and Tracking External and Internal Interfaces.**

**Conformance Management Is the Process That Tracks and Maintains the Interface Requirements Throughout the Lifecycle, and Assures That the Product Meets Those Requirements.**

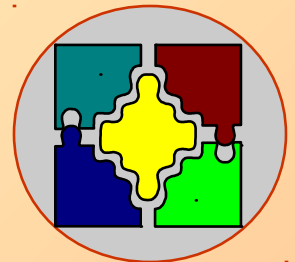
***Conformance Management Relates Interface Management to Configuration Management.***

# ***System Analysis and Control: Interface Management***

**Selection/Identification**

**Specification/Documentation**

**Interface Control Teams**



# ***“Enhanced” Interface Management***

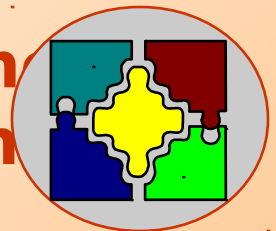
**External Interfaces Defined in Detail (Operational Architecture) and Put Under Control**

**All Interfaces Identified and Characterized**

**Critical Internal Interfaces at All Levels Are Defined and Put Under Formal Control**

**Critical Interfaces Are Designed Based on OS Considerations**

**Interface Documentation Forms Part of the Formally Controlled Configuration Baseline**



# ***Interface Management Process - Planning***

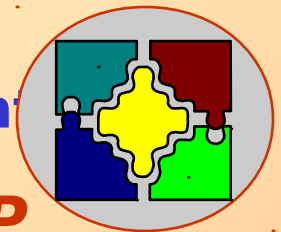
## **Characterize Interfaces**

- Reference Models
- Building Codes/ Technical Architecture

## **Identify Opportunities:**

- Rapidly Changing Tech
- Sub-system Likely to Grow or Evolve (Requirements Growth)
- High LC Cost Drivers
- Multiple Sources for Sub-system/component

***Repeat Process With Each SEP***



# ***Interface Management Process - Design and***

***Control*** **Assume Evolutionary Acquisition**

**Use Functional Partitioning and Modular Design to Develop Alternative Interface Designs**

- Identify Critical and Opportunity Interfaces
- Create Firewalls

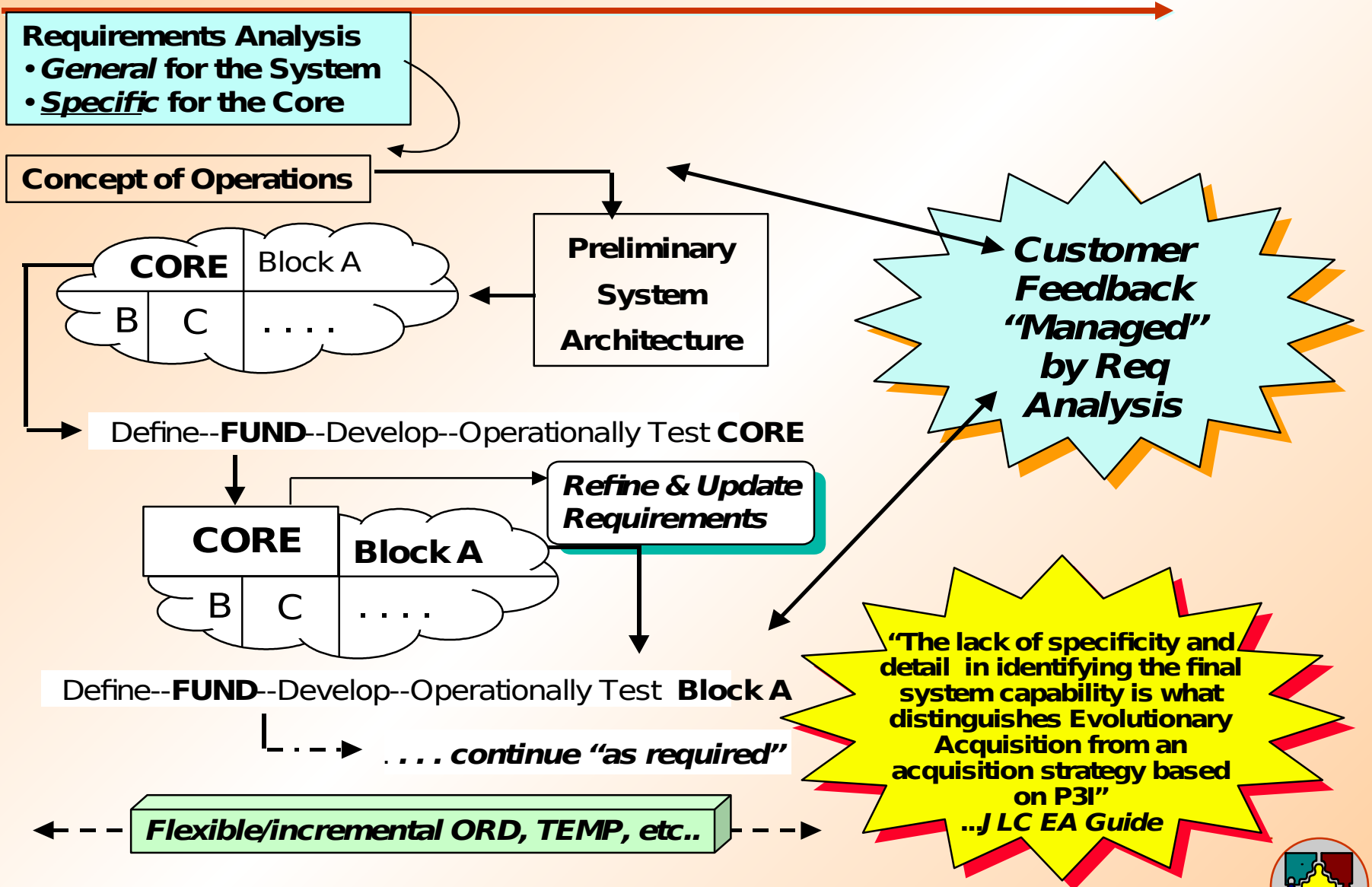
**Use Trade Studies to Choose Interfaces**

**Define and Control Critical and Opportunity Interfaces**

**Track and Document Interface Definitions and Their Changes**



# Evolutionary Acquisition



# ***Modular Design***

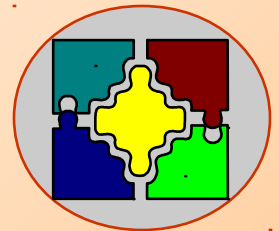
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**Develop Basic System Decomposition and Key Interfaces (More Depth As Design Proceeds)**

**Architecture Should Be Based On Functional Partitioning and Modular Design:**

- One Module to Change Without Affecting Others**
- Isolate Software From Hardware**

**Modular Design Means Compartmentalized Design,  
And Does Not Necessarily Result in “Plug-n-Play” Style Modules.**



# ***Functional Partitioning & Modular Design***

***Focus***

**Low Connectivity**

**Relationship Between Internal Elements of Different Modules**

**Creates Complex Interfaces**

**High Cohesion**

**Similarity of Tasks Performed Within a Module**

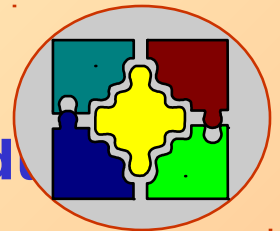
**Use of Similar or Like Components (Series or Family)**

**One Component to Perform Multiple Tasks**

**Low Coupling**

**Measure of Interdependence Between Modules**

**High Level of Information Sharing**





# ***Priority of Interface Design***



## ***Approaches***

**Open Standards That Allow  
Competitive Products,**

**Open Interface Design That Allows  
Installation of Competitive Products  
With Minimal Change,**

**Open Interface Design That Allows  
Minimal Change Installation of  
Commercial or NDI Products Currently  
or Planned to Be in DOD Use, and Last,**

**Unique Design With Interfaces  
Designed With Upgrade Issues  
Considered.**

# ***First Priority: Use Open***

## ***Standards***

**Using Open Standards Allows Technology Refresh Through Normal Maintenance and Provides Configuration Control Without Controlling the Configuration**

**Standards Should Be Either:**

- Publicly Available From Consensus-based Industry Standards Bodies (Preferred), or**
- De Facto**

**Profile the Interfaces Based on Selection of Standards and Options in Standards**



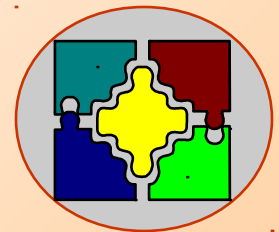
# ***Interface Design Teams***

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**When Using an Open System Approach,  
Interfaces Are Designed, Not Just Identified**

**Interface Design Teams Are Integrated  
Teams (IPT) Representing Key Stakeholders**

- Interfacing Component Design Teams**
- Management of Lifecycle Functions**
- Specialists (Risk Management, Standards Selection, Modeling and Simulation, Etc.)**

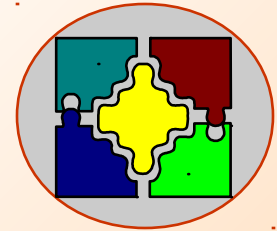


# ***Interface Design Teams***

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## **Duties Include:**

- **Definition of Interfaces**
- **Lifecycle Planning**
- **Conformance Management Through Inter-team Action**
- **Interface and Conformance Documentation**



**Interface Design Teams Develop Interface Documentation.**

**After Baseline Approval, Interfaces Should Be Formally Controlled by the Configuration Management Process**

# ***Conformance Management***

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**Conformance Management Is Required to Verify That Interfaces Exactly Conform to the Standard**

**Conformance Management Ensures That Components From Multiple Suppliers Conform at the Interface Level**

**No Proprietary Implementations**

# **OUTPUTS: SYSTEM ARCHITECTURE AND OPEN SYSTEMS**



**A SYSTEM'S ARCHITECTURE IS DEFINED BY ITS  
PATTERN OF INTERFACES**

**AT EACH INTERFACE THERE WILL BE SOME  
RANGE OF VALUES OF FORM, FIT, AND  
FUNCTION, WHICH WHEN ACHIEVED  
PROVIDES THE REQUIRED SYSTEM  
PERFORMANCE**

**OPEN SYSTEMS HAVE SPECIFIED AND  
ARRANGED INTERFACES TO PERMIT EASY  
CHANGE**

# ***Process Outputs***



- **Conformance Requirements Flow Down Through Baselines:**

*Sys Spec > CI Performance Spec > CI Detail Spec > TDP*

- **System Architectures Include Interface Definitions**
  - **Data Base Includes Interface Management Trade Studies**

*Critical Item, Choice of Interface Standards, Choice of Interface Locations (Firewalls)*

*The Final System Design Will Usually Include Some Items That Are “Open” and Some That Are Not -- It Is Neither Necessary nor Often Possible That Every Element of a Complex System Be Totally Open.*

# ***Lifecycle Functions***

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**Time and Cost to Upgrade a System Is Reduced.**

**Use of Competitive Products to Support the System**

**Conformance Management Is a Lifecycle Process**



# ***Lifecycle Integration***



## **Use Integrated Teams To:**

- Coordinate Government-Contractor Activities**
- Design and Control System Interfaces**
- Incorporate Lifecycle Considerations**
- Develop and Control Architectures**

# *Summary*

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**Open Systems Is an Enabler of Systems Engineering Management**

**Open Systems Emphasizes Interface Management, Functional Participation, Modular Design, Multiple Design Solutions, and Conformance Management**



**It Emphasizes Use of Competitive Commercial Components Based on Interface Requirements Defined by Consensus Standards.**

***BACKUP***

***SLIDES***

# **Definition of Open Systems**

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**“ ... A system that implements sufficient open specifications for interfaces, ...  
... to enable properly engineered components to be utilized across a wide range of systems with minimal changes, ...  
...to choose specifications and standards  
     adopted by industry standards bodies *or*  
     *de facto* standards (set by the market place)  
for selected systems interfaces (functional and physical), products, practices, and tools.”**

**DOD 5000.2-R**

**23 March 1996**

# ***AN OPEN SYSTEM IS A SYSTEM***



## ***WHICH ...***

- **IMPLEMENTS SUFFICIENT OPEN SPECIFICATIONS FOR INTERFACES, SERVICES, AND SUPPORTING FORMATS TO ENABLE PROPERLY ENGINEERED COMPONENTS TO BE UTILIZED ACROSS A WIDE RANGE OF SYSTEMS WITH MINIMAL CHANGES, AND**
- **CAN INTEROPERATE WITH OTHER COMPONENTS ON LOCAL AND REMOTE SYSTEMS IN A STYLE WHICH FACILITATES PORTABILITY**

# ***The Use Of “Building Codes”***

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## **Reference Model -**

***A logical representation of the system decomposition that clearly depicts key interfaces.***

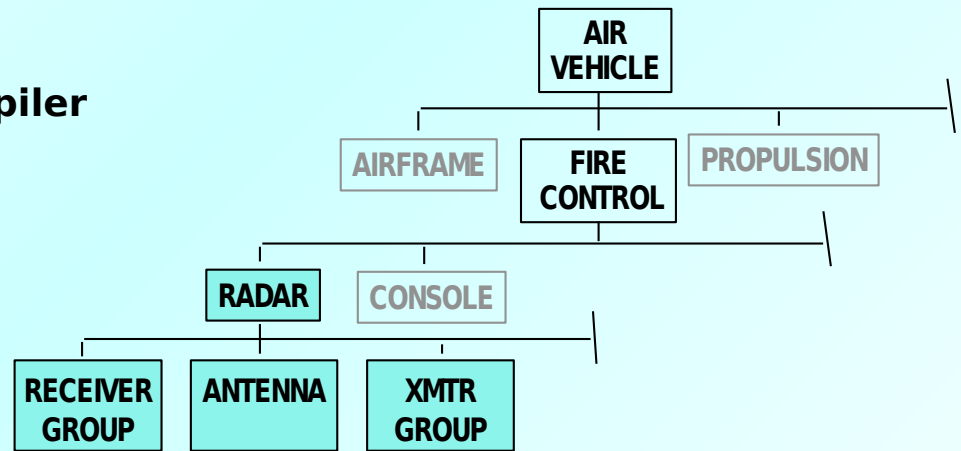
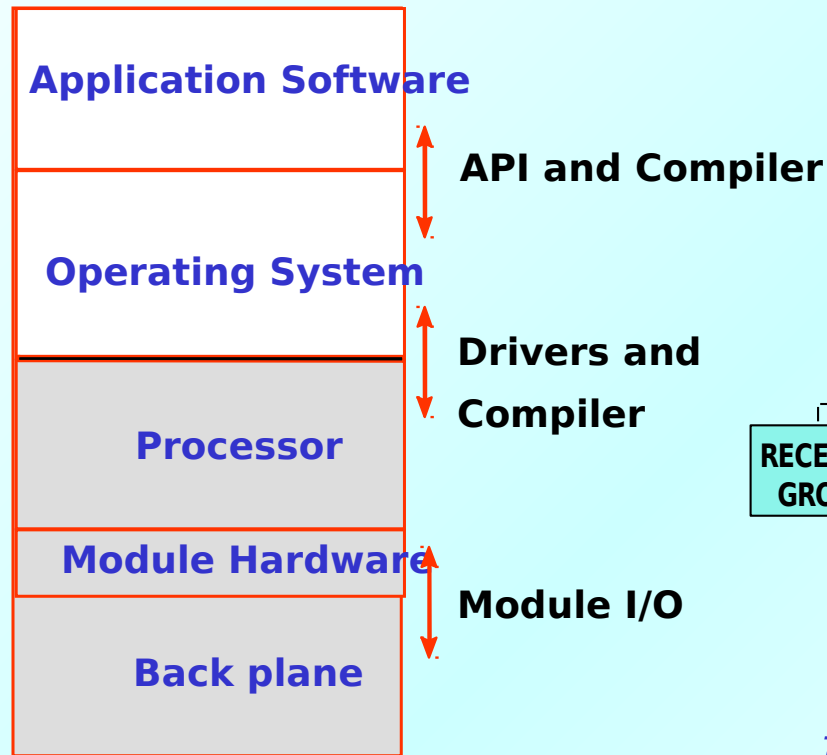
## **Open Standards -**

***Publicly available, well defined consensus-based (formal, informal (market)) standards and practices.***

## ***Output of System Description Design Phase***

- Due by SFR***
- Updated as Required***

# Reference Models



*Simple Physical  
Decomposition (WBS)*

*Simple Electronics Reference Model*